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# Deactivation mechanisms of triplet excited state hypericin by β-carotene

<sup>a</sup> Shandong Provincial Research Center for Bioinformatic Engineering and Technique, Center for Advanced Study, Shandong University of Technology, Zibo, PR China

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# Deactivation mechanisms of triplet excited state hypericin by $\beta$ -carotene

Liang Shen\*

Shandong Provincial Research Center for Bioinformatic Engineering and Technique, Center for Advanced Study, Shandong University of Technology, Zibo, PR China

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The deactivation mechanisms of the triplet excited state hypericin (HYP) by  $\beta$ -carotene (CAR) were studied employing quantum chemical calculations in the present study. The results suggest that CAR may deactivate the triplet excited state HYP through the following two pathways on thermodynamic grounds: (1) direct energy transfer from the triplet excited state HYP to CAR; (2) electron transfer from the triplet excited state CAR, which was formed through direct energy transfer pathway, to the triplet excited state HYP.

**Keywords:** hypericin; β-carotene; triplet excited state; deactivation; quantum chemical calculation

#### 1. Introduction

As a naturally occurring phenanthroperylene quinine pigment, hypericin (HYP, Figure 1) has attracted increasing attentions owing to its multiple pharmacological effects, such as antiviral, antiretroviral and particularly photosensitising activities [1–4]. Upon irradiation, HYP is initially raised from the ground  $(S_0)$  state to the singlet excited  $(S_1)$  state and then may intersystem cross to the triplet excited  $(T_1)$  state with high quantum yield [5], which is mainly responsible for the photosensitising reactions owing to its relatively long lifetime. The  $T_1$  state HYP can photogenerate reactive oxygen species, e.g. <sup>1</sup>O<sub>2</sub> and  $O_2^{-}$  [1,2,5,6]. Moreover, it has been demonstrated that the  $T_1$  state HYP can be deactivated by various quenchers [7,8]. β-Carotene (CAR) is one of the most important naturally occurring antioxidants, and thus it is interesting to explore the  $T_1$  state HYP quenching behaviours of CAR. In the present study, the mechanisms of the  $T_1$  state HYP deactivation by CAR were explored by taking the advantage of the density function theory (DFT) and time-dependent (TD)-DFT calculations, which have been widely used to elucidate numerous pigments' photosensitisation characters [6,9-11].

## 2. Calculation methods

The Gaussian 03 suite of programs was employed to perform the calculations [12]. The vicinal hydroxyls in the bay region of HYP (Figure 1) tend to dissociate one proton in polar solvents to give birth to an anion of HYP, which has been proved to be crucial to understand the photosensitive features of the pigment [6]. Thus, the anion of HYP was taken as the starting point of the

calculation. Initial structures of CAR and HYP were fully optimised in dimethyl sulfoxide (DMSO) by the hybrid B3LYP functional with 6-31G(d) Gaussian basis set. The lowest triplet excitation energy ( $E_{T_1}$ ) of CAR and HYP was obtained by using the TD-B3LYP/6-31G(d) method [13–15]. The self-consistent reaction field method with a polarisable continuum model [16–18] was used to take the solvent (DMSO) effect into consideration during the calculations.

## 3. Results and discussion

Theoretically, the  $T_1$  state HYP may be quenched by CAR through direct energy transfer and electron transfer processes, whose feasibilities were explored from the thermodynamic point of view.

Primarily, the  $T_1$  state excitation energy of HYP can be transferred to CAR resulting in the deactivation of the  $T_1$  state HYP as well as the formation of the  $T_1$  state CAR (Equation 1).

$$HYP(T_1) + CAR \rightarrow HYP + CAR(T_1). \tag{1}$$

The TD-DFT-estimated  $E_{T_1}$  of HYP and CAR was listed in Table 1. It can be seen that the theoretical  $E_{T_1}$  of CAR (0.81 eV) and HYP (1.52 eV) is close to the experimental values (0.88 eV for CAR [19] and 1.64 eV for HYP [20]), which verifies the present methodology. Obviously, the  $E_{T_1}$  of HYP is much larger than that of CAR, which implies that the direct energy transfer-based quenching pathway is thermodynamically feasible.

Secondly, the deactivation may occur through electron transfer from CAR to the  $T_1$  state HYP (Equation 2)

Figure 1. Chemical structure of hypericin.

$$\begin{array}{c} \text{HYP } (S_0) \xrightarrow{\text{$h$v$}} \text{HYP } (S_1) \xrightarrow{\text{$ISC$}} \text{HYP } (T_1) \xrightarrow{\text{$CAR$ } (S_0)$} \text{HYP + $CAR$ } (T_1) \\ \downarrow \text{$CAR$ } (T_1) \\ \downarrow \text{$HYP^- + CAR$^{+}} \end{array}$$

Figure 2. Theoretically postulated deactivating mechanisms of the triplet excited state hypericin (HYP) by β-carotene (CAR).

or from the  $T_1$  state HYP to CAR (Equation 3).

$$HYP(T_1) + CAR \rightarrow HYP^{-} + CAR^{+},$$
 (2)

$$HYP(T_1) + CAR \rightarrow HYP^{\cdot +} + CAR^{\cdot -}.$$
 (3)

According to the electronic parameters of CAR and HYP (Table 1), the feasibilities of the two possible electron transfer processes were analysed. As to reaction (2), the summation of the vertical electron affinity of the  $T_1$  state HYP (VEA $_{T_1}$ ) and vertical ionisation potential of CAR (VIP) is positive (0.13 eV), implying that the electron transfer from CAR to the  $T_1$  state HYP is thermodynamically unfeasible. Similar analysis indicates that reaction (3) is also not permitted because of the positive total reaction energy (summation of the  $VIP_{T_1}$  of HYP and VEA of CAR). However, in view of the facts that the conformational flexibility of CAR will influence the total reaction energy and the TD-DFT calculations neglected the structural relaxations of the excited state HYP, the electron transfer processes from CAR to the  $T_1$  state HYP

Table 1. Lowest triplet excitation energies ( $E_{T_1}$ , in eV), vertical electron affinities (VEAs, in eV) and vertical ionisation potentials (VIPs, in eV) of β-carotene and hypericin in DMSO.

	$E_{T_1}$	$VEA_{S_0}$	$VEA_{T_1}^{a}$	$VIP_{S_0}$	$VIP_{T_1}^{b}$
CAR	0.81	-2.34	-3.15	4.33	3.52
HYP <sup>c</sup>	1.52	-2.68	-4.20	4.88	3.36

<sup>&</sup>lt;sup>a</sup> VEA<sub> $T_1$ </sub> = VEA<sub> $S_0$ </sub> -  $E_{T_1}$ . <sup>b</sup> VIP<sub> $T_1$ </sub> = VIP<sub> $S_0$ </sub> -  $E_{T_1}$ . <sup>c</sup> Data from [6].

(Equation 2) remain probable owing to the relatively small energy gap, while should play a minor role.

Moreover, as discussed above, through energy transfer from the  $T_1$  state HYP to CAR (Equation 1), the  $T_1$  state CAR may be formed in solution, which may then pass one electron to the  $T_1$  state HYP according to Equation 4.

$$HYP(T_1) + CAR(T_1) \rightarrow HYP^{-} + CAR^{+}. \tag{4}$$

The feasibility of this process depends on the summation of the  $VIP_{T_1}$  of CAR and the  $VEA_{T_1}$  of HYP. Based on the present results, this electron transfer-based quenching pathway is favourable on thermodynamic grounds because of the negative total reaction energy (-0.68 eV).

To summarise, based on the quantum chemical calculations, two possible pathways have been proposed to be involved in the  $T_1$  state HYP deactivation by CAR, i.e. direct energy transfer from the  $T_1$  state HYP to CAR and electron transfer from the  $T_1$  state CAR to the  $T_1$  state HYP (Figure 2). As electron transfer pathway relies on the concentrations of CAR and HYP both in their  $T_1$  state, direct energy transfer process should play a predominant role during the deactivation of the  $T_1$  state HYP by CAR. Moreover, electron transfer pathway may get more thermodynamically disadvantageous compared with direct energy transfer in non-polar solvents, which are unfavourable for electron transfer reaction.

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